



# **Participatory Extension Approaches for Sustainable Rural Development**

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## **Abstract**

Agricultural extension has always been a top-down process, whereby the researchers gave the farmers the technologies/innovations and left less local involvement. Nevertheless, the increased appreciation of understanding of farmers, the variety of local situations, and the necessity of sustainable rural development have led to the paradigm shift of the extension methods to participatory ones. These strategies focus on collaborative decision-making, collaborative learning, and empowerment of farm communities through active participation in the process of technology development, dissemination and evaluation. Participatory extension has its foundation on the assumption that farmers are not passive individuals who are just consumers of information but that they have important experiential information that can be tapped. It facilitates the connection between indigenous practices and scientific research, and innovations are socially acceptable, economical, and environmental friendly. Located methodologies like Participatory Rural Appraisal (PRA), Farmer Field Schools (FFS), Farmer Interest Groups (FIGs), Self-Help Groups (SHGs) and Participatory Technology development (PTD) are very popular to promote the dialogue, collaboration and solving of problems together between the parties. Such approaches contribute to building trust and allowing communities to define their needs, priorities, and work out solutions that can be locally adjusted. Participatory extension plays an important role towards sustainable rural development. It improves the decisions of farmers, encourages fair distribution of resources, institutionalization, resilience of local institutions, and resistance to socioeconomic and environmental challenges. In addition, it contributes to



inclusivity through involving women, youth and marginalized groups in agricultural innovation and rural governance. Although it has been successful, the institutional rigidity, poor training of extension staff, availability of resources and inadequate policy support are some of the challenges experienced in participatory extension.

## **Introduction**

Agricultural extension is very vital in stimulating agricultural development, increasing farm output and raising the livelihoods of the rural people. Historically, the extension systems were based on top-down extension of technology model whereby scientific breakthroughs that were made in research institutions were distributed to farmers, through their extension agents. Although this model assisted in proliferating the modern farming systems, it usually did not address the varying socio-economic, cultural and environmental conditions of the farmers. This led to poor adoption of many technologies or ones that were not viable in the long run.

The constraints of the traditional extension strategy over time resulted in the emergence of the understanding that rural people are not passive receivers of information, but active participants in the development process. Diversified indigenous knowledge, experience, and ability to solve problems can be used together with scientific expertise since farmers have these attributes. This realization led to participatory extension strategies, which focus on partnering, consultation and empowering communities that practice farming. Participatory extension emphasizes mutual learning and involves the farmers, researchers and extension workers who together identify problems, test solutions, and make decisions as they see appropriate to the local conditions. All these strategies represent a dramatic departure of the traditional teaching model to learning together model. They promote self-reliance, social inclusion and local ownership of development projects which are a must in attaining sustainability. Participatory Rural Appraisal (PRA) and Farmer Field Schools (FFS) and Self-Help Groups (SHGs), and Participatory Technology Development (PTD) have proved to be useful mechanisms to enable farmers to contribute in



farm planning and innovation. Participatory extension enhances community capacity by encouraging collective learning and local leadership which results in long-term behavioral change.

### **Idea and Principles of Participatory Extension.**

The principle of participatory extension is based on the notion that rural citizens (particularly farmers) are learned and knowledgeable people who can determine, analyze, and resolve their own issues with the help of the appropriate support and facilitation. Participatory extension encourages interactive, two-way learning, between farmers, researchers and extension workers, unlike the traditional top-down extension model where the experts provide the technology and the passive receivers accept the technology. It has recognized that sustainable agricultural development is only made possible when farmers are fully involved in the planning, implementation, and appraisal of development programs.

Participatory extension perceives farmers as partners and not beneficiaries. It takes the local experiences, native practices, and culture and it develops out of it. The emphasis on supplying pre-existing technologies is replaced by the possibility of communities to experiment, innovate, and adjust the solutions that will suit the local ecological and socio-economic circumstances. This would promote ownership, trust and commitment in the long term by the participants.

### **The major tenets of participatory extension are:**

**1. Participation and Empowerment:** Farmers are also involved in the decision making process and are responsible to their own development. This strengthens self esteem and leadership in communities.

**2. Learning by Doing:** It is focused on field experiments and observation which is an aspect of learning that focuses on experiential learning where farmers experiment and refine practices in



Farmer Field Schools (FFS).

**3. Collaboration and Partnership:** It promotes collective effort and sharing of information with the stakeholders, between farmers, researchers, NGOs, private agencies, and government institutions.

**4. Indigenous Knowledge:** Appreciates and incorporates the local wisdom and practices in application with modern scientific knowledge to come up with solutions that are specific to the context.

**5. Equity and Inclusivity:** This provides the representation of women, youth, and marginalized groups in the agricultural decision-making process.

**6. Sustainability:** Fosters sustainable, economically viable, and socially acceptable farming that encourages long term growth.

**7. Flexibility and Adaptability:** Programs are created to adapt to local realities and are constantly revised by means of feedback and reflection.

### **Major Participatory Extension Approaches**

Participatory extension is a wide term that is used to describe the various ways of making farmers and rural people actively involved in the agricultural learning process, planning and innovation. Such practices establish a learning and action platform to help to ensure that the development initiatives are locally relatable, based on needs and sustainable. Participatory Rural Appraisal (PRA), Farmer Field Schools (FFS), Self-Help Groups (SHGs), Farmer Interest Groups (FIGs), and Participatory Technology Development (PTD) are some of the well-known participatory approaches.

#### **1. Participatory Rural Appraisal (PRA):**

PRA is a group of participation tools and methods that would allow the local population to participate, examine, and plan their individual development endeavours. In the processes of social mapping, resource mapping, seasonal calendar, and ranking exercises, farmers share local problems, needs that get priorities, and action plans. PRA lays more stress on visual approaches and local knowledge, inclusiveness and community ownership of development initiatives.

## **2. Farmer Field Schools (FFS):**

FSFS was developed by the Food and Agriculture Organization (FAO), it is a group-based method of learning that encourages learning through doing. Farmers will meet frequently to run field experimental and jointly decide how to handle the pests, manage the soil and crop production. The strategy increases the level of analysis, decision making and the confidence of the farmers with regard to managing their farms in a sustainable manner.

## **3. Self-Help Groups (SHGs):**

SHGs are informal organizations with a small size that are constituted by women living in rural areas mainly to pool savings, to gain interest in credit and other livelihood projects. SHGs are powerful platforms in the context of information sharing, capacity building, and empowerment in the context of the extension. They promote collaboration, social integration and communal approach to issues among the members.

## **4. Commodity Interest Groups (CIGs) and Farmer Interest Groups (FIGs):**

They are created according to common interests in certain crops or business. Through collaboration, farmers are able to get technical assistance, inputs and markets more effectively. FIGs and CIGs enhance group behavior, technology use, and entrepreneurship on rural farmers.

## **5. The Participatory Technology Development (PTD):**



PTD comprises of farmers and researchers coming up with agricultural technologies together, testing and refining them. It integrates the scientific approach to local knowledge of farmers in order to make sure that the innovations are technically viable but cost-effective and sustainable to the environment.

### **Participatory Rural Development Role.**

The participatory extension methods are critical in facilitating sustainable rural development by making agricultural innovations acceptable, viable and environmental friendly. Such strategies enable the farmers to be active actors in the process of development as opposed to passive receivers of external inputs. Through participatory approaches, the rural communities are empowered to make decisions, learn and collaborate towards managing their resources and livelihoods in a sustainable manner.

Empowerment and capacity building is among the greatest contributions of the participatory approaches. Farmers will have the chances to determine their needs and test innovations as well as make informed decisions. Such a process improves self-confidence, leadership, and ownership of development programs locally. Rural individuals learn problem solving, technical skills and how to adapt to changing socio economic and climatic conditions through participatory learning institutions like Farmer Field Schools (FFS) or Self-Help Groups (SHGs). Social inclusion and equity are also created through participatory approaches. They also make sure that the marginalized groups, particularly women, youth and smallholders are actively participated in plan and actualization. This non-discriminatory involvement lowers inequalities in rural areas, improves gender equity and social cohesion at the community level. Participatory extension is a bridge between traditional knowledge and modern science as it attempts to acknowledge and appreciate the indigenous knowledge systems, resulting in the integration of the locally applicable and sustainable practices.



Participatory methods lead to the adoption of resource-efficient and environmentally friendly agricultural methods in the sustainability of the environment. Farmers try collaboratively to use soil conservation, integrated pest management, organic farming, and local-specific water management techniques. These initiatives help in the protection of biodiversity and agro ecosystem health.

### **Challenges and Constraints**

Although participatory extension methods have been proven to be potentially effective, there are numerous challenges and constraints that restrict their application and success. Although these approaches have made farmers more closely involved in the decision-making process, many institutional, operational, and socio-economic obstacles still represent the ways of not fully integrating farmers into extension systems. An institutional inertia and top-down attitude which continues to predominate most of the public extension organizations is one of the major challenges. The traditional extension systems are commonly designed in a hierarchical manner in which technology transfer is more focused as opposed to participatory learning. The extension staff is often gauged by how many farmers they reach or the technologies they have distributed as opposed to community involvement or empowerment achievements. This poses the opposition to the adoption of the participatory approaches necessitating flexibility, time, and patience. The other limitation is the small capability and talents of the extension workers. Participatory processes require good interpersonal, communication and problem solving skills to facilitate the process. Nevertheless, participatory approaches like PRA, FFS, or PTD are not well trained in many of the extension workers. Devoid of appropriate orientation they might fall back to the teacher-centered form of teaching rather than promote farmer centered discussions and analysis. There are also challenges of resource and time constraints. Participatory methods tend to be time consuming and need repetition, group discussions and field learning sessions. The extension agencies might not be able to conduct routine follow-up or to scale up successful programs due to financial constraints, logistical assistance, and human resource. The participation is further



complicated by the divergence of interests of the farmers and their socio-economic backgrounds. Women, smallholders, and landless laborers are some of the marginalized groups that can encounter challenges to active participation because of cultural expectations, low mobility, and confidence. This is because the inclusion of the entire community in the process continues to pose a significant challenge. Additionally, there is the weak monitoring and evaluation of participatory programs. Traditional performance measures are not good at measuring qualitative results such as empowerment, trust, or behavior change. There are no clear evaluation frameworks and therefore it becomes hard to determine the actual impact of participatory approaches on rural development.

#### **Plans on Efficient Implementation.**

In order to make the extension strategies participatory and play a significant role in the sustainable rural development, strategies must be planned. It must be implemented at various levels, including institutional, operational, and community ones to ensure that participation will be the main component of agricultural extension practice. The capacity building of the extension personnel and farmers is the first, and foremost strategy. The extension workers should be trained with technical knowledge in addition to facilitation, communication, and participatory learning skills. Their skills on how to lead communities in problem-solving processes can be enhanced through refresher courses, exposure visits and hands on workshops. On the same note, the development of the farmers with regards to leadership, record-keeping, and management of groups makes them take initiatives and own them. Police support and institutional strengthening is also critical. The extension services need to be decentralized, flexible and decentralized. The participatory methods should become a formal aspect of the extension systems and therefore supportive structures should facilitate their use. Sustainability of participatory programs can be improved by providing adequate funding to the program, having long term plans and performance measures that rely on the outcomes of the empowerment and not output levels. Participatory approaches can be enhanced by the application of Information and Communication





Technologies (ICTs). Knowledge can be exchanged and real-time problem solving can be achieved by using mobile-based advisory services, community radio, digital platforms, and interactive video tools. ICTs can enhance the access of the rural community to information and the two-way exchange of information between farmers and experts. Another strategy is the multi-stakeholder interaction. Collaboration between government agencies, non-governmental organizations (NGOs), research institutions, private companies and farmer organizations assists in sharing resources, expertise and innovations. The result of such collaboration is a synergy and interventions are administered considering the real needs of the farmers on a multi-faceted approach. The inclusive participation should also be encouraged. The extension programs must establish conducive and secure environments where women, the youth, and marginalized groups of people can air their opinions and make contributions in decision-making. Equity of participation can be promoted through gender sensitive training modules, flexible meeting schedules and social mobilization activities.

## **Conclusion**

Participatory extension is a new paradigm of agricultural development, responding to a traditional, top-down, and one-way delivery of technology, as a bottom-up, inclusive and empowering process of mutual learning and decision making. It understands that farmers are not just the passive receivers of knowledge, but active collaborators who have useful experience, ingenuity, and problem-solving potential. Participatory extension can be used to develop sustainable solutions that build the social, economic, and environmental aspects of rural development by combining local knowledge and scientific innovation. Participatory Rural Appraisal (PRA), Farmer Field Schools (FFS), Self-Help Group (SHGs) and Participatory Technology Development (PTD) are some of the methods which promote communities to determine their priorities, problem analysis and, coming up with solutions collectively. Not only does this participatory process enhance agricultural productivity and management of resources, but also self-reliance, leadership, and solidarity of communities. In addition, through



participation and inclusion of women, the youth and marginalized farmers, participatory extension promotes equity and social justice in the rural regions. Yet, the participatory approaches can be implemented successfully and need an enabling environment. It is important that institutional restructuring, continuous capacity building of extension personnel, sufficient funding and supportive policies are in place so that the principles of participation can take root in mainstream extension systems. Communication, coordination, and innovation on the ground can be improved further with the use of the modern tools like ICTs and multi-stakeholder partnerships.

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